

How to submit a claim on UPS.com

User guide

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Introduction

The Claims Dashboard is an online solution that allows you, the customer, to report issues with packages and manage claims online. It is currently available in the following countries/territories:

Argentina Netherlands Sweden France Australia Germany Norway Switzerland Philippines Austria Greece Taiwan Belgium Hong Kong Poland Thailand Brazil Portugal Ukraine Hungary Canada India Puerto Rico

Canada India Puerto Rico United Kingdom
Chile Italy Republic of Ireland United States
China Kazakhstan Russia U.S. Virgin Islands

Czech Republic Macau Singapore Vietnam

Denmark Malaysia South Korea Finland Mexico Spain

Finland Mexico Spain

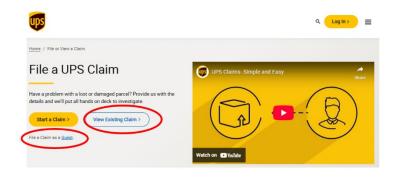
Submitting complaints online comes with many advantages.

- Provides 24/7 access, so claims can be submitted at your convenience
- Online visibility of the required documentation
- Online visibility of status and notifications
- Downloadable complaint data
- · Customisable complaints dashboard
- Possibility to report complaints individually
- Ability to report up to 1000 complaint cases at once in a script file format

Guest Login

You do not need to log in with a username/account to make a claim for a lost or damaged package on https://www.ups.com/de/en/support/file-a-claim. The guest login feature gives you a quicker and easier option if you're not the shipper. There are important things to keep in mind when deciding to use Guest Login:

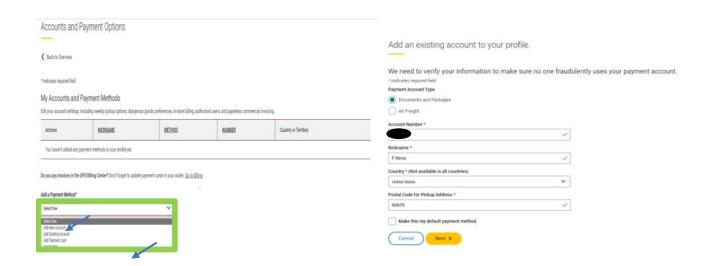
- The role of the "Guest" initiator is set to "Recipient" by default.
- If you are the sender of the package, keep in mind that you will likely need to provide additional documentation.
- The claim status after submission is limited to the sender.



Accessing claims on ups.com and user authentication

User authentication links UPS shipping accounts and <u>ups.com</u> User IDs. This is required to access the full complaint details on <u>ups.com</u>. This requirement protects sensitive customer information.

- If you have not yet completed user authentication, you will only have limited insights in your dashboard for replacement claims.
- When user authentication is complete, you will have a complete view of your dashboard.
- If you do not use Quantum View, you can complete authorization through payment options by logging into ups.com to complete the necessary steps to link your account to a profile. You will need the billing details for the account you want to link to the profile.
 - Log in to <u>ups.com</u>, then click on your name in the top right corner and select "Accounts and Payment" from the drop-down menu
 - Select "Add Existing Account" from the "Add a Payment Method" dropdown box







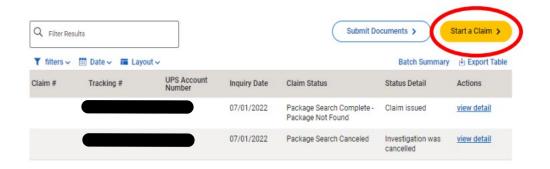
Add an Existing Account We need to verify your information to make sure that no one fraudulently uses your payment account. Payment Account Type Documents and Packages Air Freight Account Number Nickname Make this my default payment method. Back Next

- After you add the existing account to your profile, you'll be prompted to enter the following billing details:
 - o Date of Invoice
 - o Invoice number
 - Shipper number
 - o Invoice amount
 - o Control ID

Submit a claim

From your dashboard, you can submit a claim for packages that have been lost or damaged.

- Types of Loss and Damage Claims
 - Loss A claim can be registered for lost parcels if they are not delivered 24 hours after the expected delivery date and time
 - Damage A claim can be initiated for damaged packages after delivery. If a damaged package is identified while in transit, UPS will initiate a damage claim on your behalf.
 - Cash on delivery (COD) payment on delivery was not made



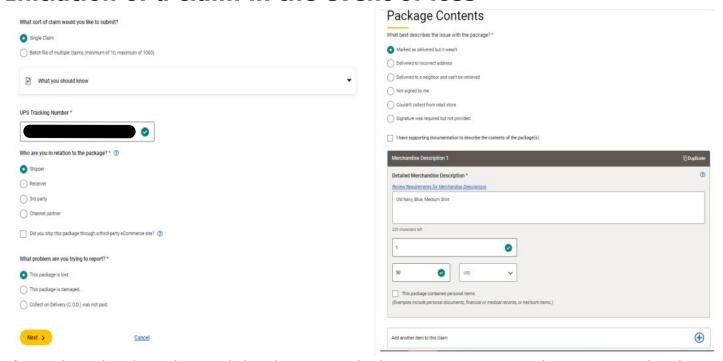
Enter the tracking number: Your tracking number can be found on your shipping receipt, your email confirmation (if you shipped from UPS.com), on the outer packaging of the item being shipped, and on the receipt that will be given to the sender. Your tracking number can be found in the following places:

- Your shipping receipt
- Your email confirmation-if you shipped from UPS.com
- On the outer package of the shipped item
- On the receipt given to the sender

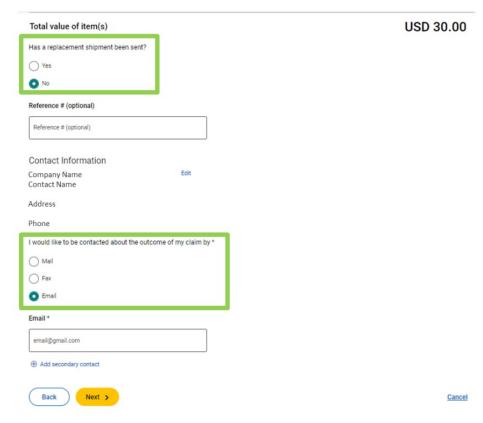
There are four roles to choose from:

- Sender: The person who shipped the package for which a claim is being requested
- **Recipient:** The person who has received (if damaged) or would have received (if lost) the parcel for which a claim is being made
- Third Parties: Someone who has an interest in or connection to a claim's shipping or billing information
- **Distributors:** Distributors are Third-Party-Logistics (3PL), which are marketplaces or platforms with which UPS has partnered to offer our shipping services natively on their platform.

Initiation of a claim in the event of loss



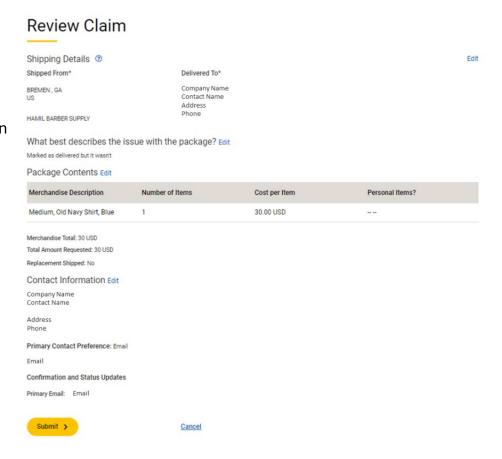
If a package has been lost and the shipper sends the receiver a new package prior to the claim being issued, please identify that a replacement shipment has been sent.



The contact information listed here will be used for claim notifications. Please select where you would prefer your claim notifications to be sent. You have the option to add secondary contact as a backup notification.

Review all claim details and edit them if necessary before submitting them.

Once the claim has been submitted by UPS.com, UPS will conducting an investigation of the lost package. After submitting the claim, it is available in the complaints dashboard (for authenticated users).

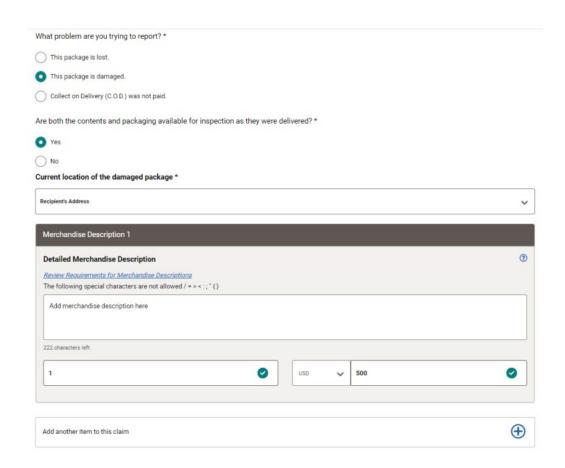


Initiation of a claims in the event of damage

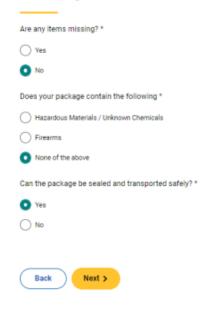
To perform an inspection of a damaged package, the contents and original packaging must be available for inspection, or you must be able to provide photos of the contents and original packaging.

It is important for UPS to know the location of the package, so that the appropriate local package center is engaged, if needed for physical inspection or retrieval of the damaged package.

Please note: All additional items must be added separately



Package Contents



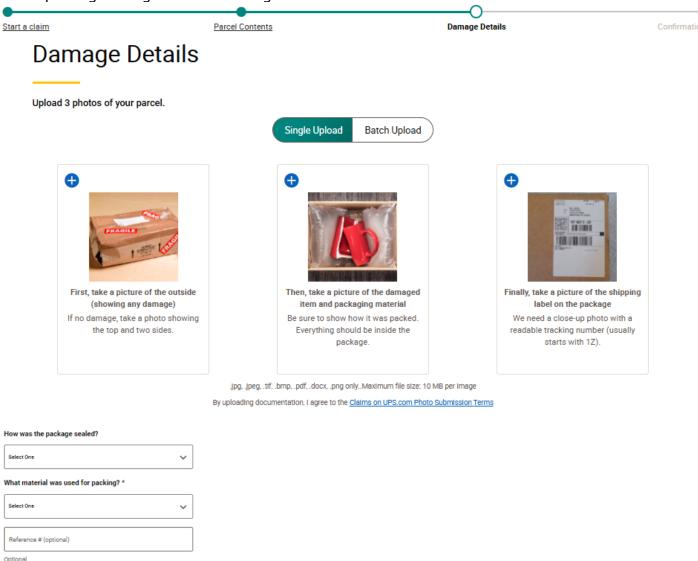
Indicate if any items are missing from the package contents so that we can try to find the items and conduct a damage inspection.

It is important for UPS to know if dangerous goods or if the package cannot be transported for inspection without further damage to the goods.

The following three photos of the damaged package will be requested.

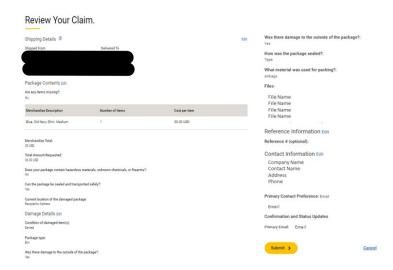
- 1. A photo of the outside of the package
- 2. A photo showing the damaged merchandise, inside original box, with all of the original cushioning (Should show placement of merchandise inside box)

3. A close-up of the shipping label with tracking number (usually starts with 1Z)One photo showing any damage to the outside of the package (Should show two or three sides of the package along with the damage



Note: The contact information provided here will be used for complaint notifications, please select where you want your complaint notifications to be sent. You have the option to add a secondary contact as a backup notification

Review all complaint details and edit them if necessary before submitting them:



Once the claim has been submitted on UPS.com, UPS will conduct an investigation and the claim will appear in the claims dashboard (for authenticated users).

IBAN and BIC numbers

You will be asked to provide your bank details in the form of IBAN and BIC numbers during the claims process.

- This shortens the processing time of complaints because customer service representatives no longer have to call or email you to collect payment information.
- The provision of bank details is not mandatory for the assertion of a complaint. However, bank details are mandatory to accept a claim offer and receive payment.
- You have two options for providing your bank details during the claims process:
 - 1. When submitting a claim, where the bank details are optional fields; or
 - 2. After the approval of complaints and when submitting payment documents in which bank details are required, fields are required if this information was not provided when the complaint was first submitted.
- When submitting claims, bank information fields are displayed on the Package Contents screen.
- If you do not provide your bank details when submitting your claim, you can provide them at the time of acceptance by either clicking on the "Submit Documents" button in the claims dashboard or by viewing the details of an individual claim.
- Once you have provided the claim number and account number (if required) and selected
 that you are the sender, you will be presented with the required fields to enter your bank
 details.
- Third-party customers and recipients cannot enter bank details.
- IBAN and BIC numbers are being validated. If you enter the wrong format (i.e. length), you will receive an error message and will need to correct it before you can proceed with the submission.
- You don't have to enter your bank details for every application you submit.
 - A checkbox will appear on the claims platform where you can indicate that you want to save your bank details for future claim reports.
 - For later applications, you will see the last four digits of the IBAN number that has been saved and equipped with the ability to edit your bank details and save them for future use.

Layout of the Claims Dashboard

The layout feature allows for full customization of the dashboard, allowing you to decide how the details of your claim are being displayed.

The layout of the claim dashboard is set to default. The following columns are included:

- claim number
- Tracking number (UPS tracking numbers are displayed in the following formats):

 - o T9999999999
 - o 999999999
- UPS Customer Number
- Inquiry Date
- Claim Status
- Status Details
- Actions (View Details for open or resolved claims or Action Required for claims that require more information)

Customize and save your layout to include one or more of the following:

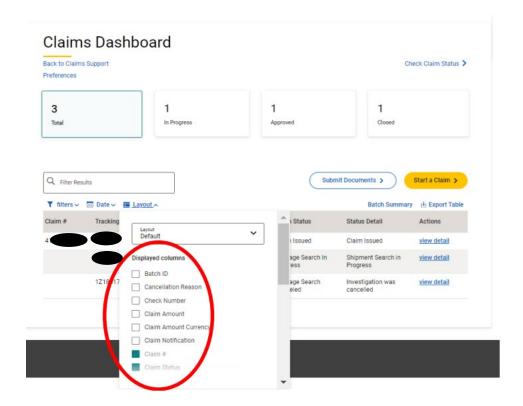
- Batch ID
- Cancellation Reason
- Check Number
- Claim Amount
- Claim Amount Currency
- Claim Type
- Declared Value Amount
- Declared Value Currency
- Invoice Number
- Paid Amount
- Delivery Scan

- Paid Amount Currency
- Paid Date
- Purchase Order Number
- Received By Name
- Role of Initiator
- Ship to City
- Ship to Company Name
- Ship to State Province
- Shipper Reference Number

To save a new layout, click the Layout icon.



Select the columns you would like to add.



Then click Save as New and name your new layout. You may save up to 20 customized layouts.



Status tiles of the claim dashboard

The tiles show where your complaints are in the complaint process

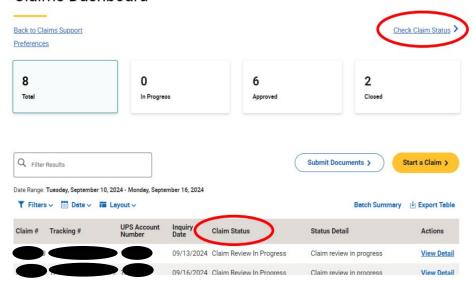
- Total Total number of complaints processed, approved, and closed
- In Progress Number of Complaints processed/ reviewed by UPS
- Approved Number of applications that have been approved for payment
- Closed number of resolved/ paid complaints



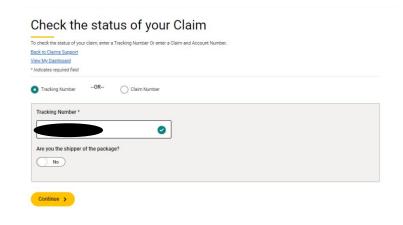
Details about the status of the claim dashboard

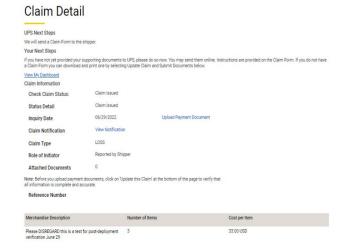
Details of the claim status can be viewed by clicking on "Check Status of Claim".

Claims Dashboard



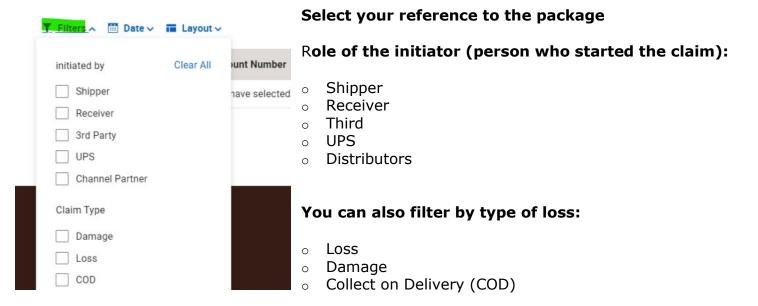
- · Enter the tracking number of the claim you want to view
- Indicate if you are the shipper
 - If you are the sender of the package, you will have a detailed view of the complaint details
 - If you are not the sender of the package, you will have limited visibility into the complaint details





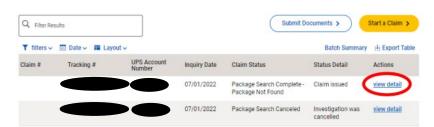
Claims dashboard filter

You can use filters to drill down into the claim type or role of the initiator.



View Claim Details

The "View Details" link allows you to view information about a specific complaint.



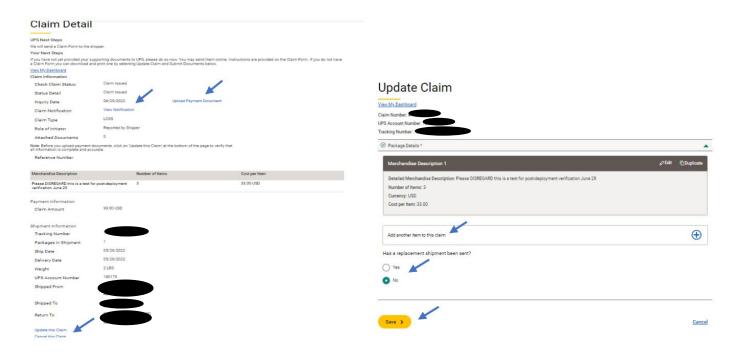
Once the claim details page is opened, the available links will vary depending on the claim status and/or your user role.

- If you have completed User Authentication, you will see all details available for that claim.
 - o Depending on the status of your claim, you may also:
 - Update a Claim Update the merchandise description and value of the claim
 - Dispute a Claim Submit request to dispute outcome of the claim
 - Upload Supporting Documents Attach documents to the claim to assist with locating a lost package or proving damage to a package. Please note documents uploaded here will not be used to complete payment if the claim is approved
 - Upload Payment Documents If your claim is approved, upload your payment documents here. Be sure to Update a Claim before completing this step if updates are required to the merchandise description or value of the claim
- If you are not able to complete User Authentication, you will see limited details for the claim, such as tracking number and inquiry date.

If you're eligible, the claim details page may contain links to:

- Update a Claim
- Canceling a claim
- Contestation of a claim

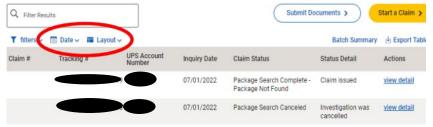
- · Upload payment receipts
- Upload supporting documents
- View attached documents
- Show notifications (displays the last notification received)



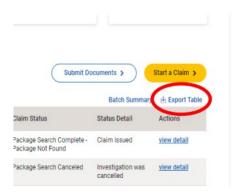
Export claims dashboard

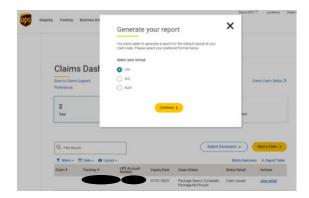
The claims dashboard is your centralized online claims management tool that allows you to export your claim details.

- Up to 20 custom claims data table layouts can be stored in the dashboard
- The type of information available depends on your user role and profile settings.
- Up to 9 months of claims history are available
- Can be exported to CSV or Excel format



Click Export Table above the Actions column and select your format. All claims within the date range selected will be included in the exported table



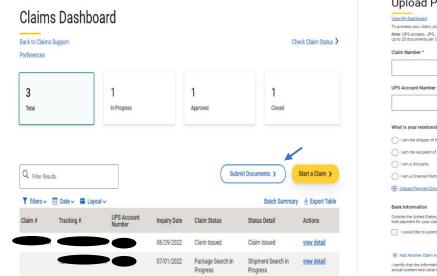


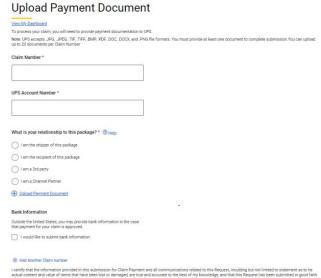
Payment of claims

Information required to submit complaint documents:

- The shipper's claim number and UPS account number
- An invoice or receipt for the lost or damaged goods
- If repairable, an invoice or receipt for the repair of the damaged goods
- A request to pay the replacement cost of the goods and shipping costs in the form of an invoice to UPS
- A copy of the shipping receipt, collection log book, or a copy of the freight bill

You can upload your documents in the claims dashboard.





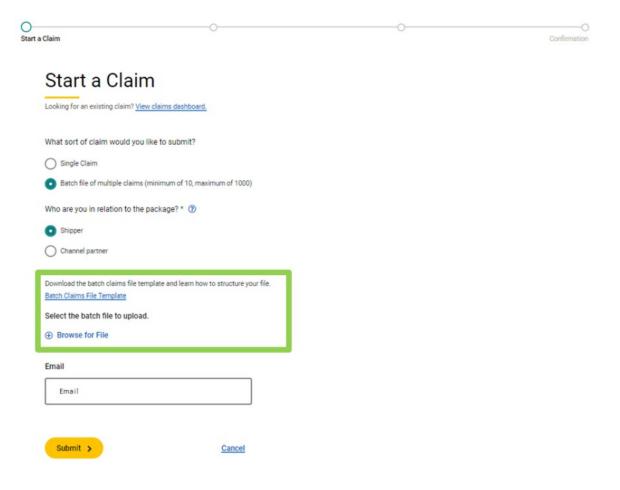
The claim payment process begins once the investigation of a damaged or lost package has been completed and UPS has assumed liability. The claim status will be changed to " Claim Issued".

Batch Uploads

A batch file allows authorized users to file multiple claims (minimum 10, maximum 1,000) in a single batch. If there are more than 1,000 complaints, additional batch files can be created. Claims for loss and damages may be included in the same batch submission.

To submit a collective application:

- On the "Initiate Complaint Procedure" page, click "Batch Claims File Template" to download the template
- Save the file and fill in the required fields
 - Once the "Issue Description" field has been selected, any remaining required fields will be highlighted in yellow based on the selected claim type
 - o To avoid upload errors, a new batch template should be used for each submission
- Sort the class damage file by claim type (loss and damage grouped) and submit it in Excel format using the find file link
 - As with individual applications, supplementary claims documents (copies of invoices, receipts, tracking number, etc.) can be submitted separately
 - Up to 20 supplementary claim documents can be attached to a complaint (max. size 1 MB per Upload)



Frequently asked questions about claims

How can I prevent damage and loss of packages?

Research shows that damaged or lost packages that lead to a complaint are often related to packaging that is too large or too small, that offer poor padding, labels that are not properly attached to your package, or unclear delivery instructions.

To avoid damage, check out some basic <u>packing tips</u> we've put together to get your package to its destination safe and undamaged.

Who can I contact to submit a complaint?

Visit www.ups.com/claims to get started.

Do I need a UPS account number to file a claim?

No, you do not need a UPS account number to file a claim, you can create a

Submit a complaint as a guest. Further processing and, if necessary, However, refunds are generally made with the sender.

How long do I have to report a claim?

For domestic shipments, you must report any damage immediately, no later than 7 days, and loss within sixty days of the actual/planned delivery date. In the case of international shipments, damage must be submitted within 14 days of delivery of the package or pallet or, in the event of non-delivery, within sixty days of the expiry of a reasonable period of time for delivery.

Is there a way to change complaint documents that have already been submitted?

A shipper who needs to make a change to a previously submitted payment request can do so by sending an amended invoice and/or other required document updates to UPS. On each page submitted, the shipper number and the claim number for which the change is being made should be clearly indicated. The submission of documents can be done in the claims dashboard.

I dispute the amount of the claim paid.

UPS only has to pay a certain maximum liability amount if you have not declared the value for your shipment – this is based on the UPS conditions of carriage of the country of shipment – e.g. a maximum of 510 euros per shipment from Germany. If you have specified a higher value, please prove this with appropriate evidence.

I have not yet received my debt payment. What can I do?

Please contact customer service.

If I have more than one claim submitted, will I receive multiple payouts?

Claim payments are processed daily for all receivables paid within one business day per shipper number. For example, if you have three accounts receivable for the same account number that were paid on that day, you will receive a wire transfer that includes the payment for all three receivables. If a follow-up claim is paid the following day, a second transfer will be processed.

General questions about claims

What information/documents are required to file a claim?

Information required to submit complaint documents:

- The shipper's claim number and UPS account number
- An invoice or receipt for the lost or damaged goods
- If repairable, an invoice or receipt for the repair of the damaged goods
- A request to pay the replacement cost of the goods and shipping costs in the form of an invoice to UPS
- A detailed description of the goods (Example 1: Polo pyjama top and pants, size M, navy blue, Example 2: 5 Avon Sun + Sunscreen Face Lotion, SPF 40, 250ml, yellow and red container, Example 3: Laptop, brand XY, serial number XY)
- If the package is damaged, 3 photos of the damage are needed as follows:
 - A photo of the outside of the package
 - A photo showing the damaged merchandise, inside original box, with all of the original cushioning (Should show placement of merchandise inside box)
 - $_{\odot}$ A close-up of the shipping label with tracking number (usually starts with 1Z)One photo showing any damage to the outside of the package (Should show two or three sides of the package along with the damage
- A copy of the shipping receipt, collection log book, or a copy of the bill of lading

What file formats does the ups.com claims dashboard support?

The Complaints application supports the following file formats:

- .JPG
- .JPEG
- .TIF
- .KABBELEI
- .BMP
- .PDF
- .DOCX
- .PNG

How long does the claim process take?

Once you have submitted payment documents, it takes an average of 8-15 business days to process. Once an application is approved for payment, it will be paid within 5 business days of receipt of the documentation (the approximate time to receive payment is 7 to 10 business days in total). Providing as many details as possible will help speed up the process, as will photo documentation if it's a case of damage.

What do the different statuses mean?

- Complaint issued: The complaint has been issued and the documents have been sent to the registered sender. If you are the shipper, you will need to upload an invoice showing the cost of repairing or replacing the item and verify that all the information on file is correct in order to be paid. From the claims dashboard, select "View Details", and then select "Upload Payment Receipts".
- Shipping inspection scheduled: UPS has scheduled an inspection of the damaged package. Inspection status updates will appear on your dashboard as they become available.
- Complaint Notification Receipts Received: Your submitted documents or photos have been successfully uploaded to your application.
- Claims review in progress: Your claim will be reviewed by our claims department.
- Claims review completed: Your claims investigation is complete, and the results of the investigation will be available shortly.
- Claim Not Approved Insufficient Description: UPS requires a detailed description of the goods to complete the investigation. An icon appears in the dashboard to alert you to further actions that need to be taken.

- Claim Not Approved Unable to Contact Recipient: UPS requires accurate contact information of the recipient to complete the investigation. An icon appears in the dashboard to alert you that further action is needed.
- Payment for your claim has been processed: The payment details are available on the dashboard for the authenticated shipper or shipper-designated agent.

What are "payment receipts"?

The applicant must upload their payment documents within 9 months of the scheduled delivery date for domestic shipments. For international shipments, the applicant has 8 months, but this may vary depending on the country.

Where is the payment for a claim sent?

Claim payments are transferred to the specified bank account.

Where can I view my claims history?

You can log in to www.ups.com/claims and visit your claims dashboard to view up to 270 days' history.

Where can I find more information about claims?

Visit www.ups.com/claims for more information.

Troubleshooting the Claims Dashboard

Why can't I submit my application to **UPS.com**?

- **Shipper Restriction** The shipper of your package has a restriction on the account that does not allow recipients or third parties to begin the claim process. Contact the shipper for further assistance.
- **Account authentication**: Your account has not yet been authenticated with us, so we cannot allow the claim process to start. To authenticate your account:
 - Select Payment Options in the upper right corner. Next, select "Existing Account" from the "Add Payment Method" drop-down menu. Finally, select "Add" and follow the instructions to add an account number.
 - o If you are a CampusShip or Quantum View user, contact your sales representative to add your account number(s).
- **UPS Ground Saver Packages** Complaints for UPS Ground Saver packages will be accepted for packages delivered by us. However, once the exception scan is captured, indicating that the package has been handed over to the post office, complaints will no longer be accepted.
- Release Packages Packages that you have selected for approval (approval to your address or approval for a package for your apartment building through your My Choice account) cannot be submitted for claims.
- Past Liability The submission of a claim must be made in a timely manner.
- **Current investigation open** You cannot file a claim because there is already a claim open regarding your problem.

Why does my batch claim file keeps being rejected?

Please check the details in your batch summary to determine the reason for application rejection. If the claim was rejected as invalid, there was probably something missing from one of the data columns. For example, the recipient column may have been left blank, etc.

Collective claims go through the same validation process as a claim submitted as a single claim. For example, if a package is submitted in batch for which liability has expired, it will be rejected.

Frequently asked questions about IBAN and BIC numbers

Is it mandatory for me to provide my bank details?

You will need to provide your bank details in order for your claim payment to be processed. You have the option to provide your details when you first submit an application, but this is not mandatory to make a claim. However, you will need to provide a valid IBAN and BIC when accepting an application and submitting payment documents. This improvement allows for faster processing of complaints, as customer service no longer has to contact you to collect this information manually.

I have entered an IBAN number, but I keep getting error messages. Why is that?

Bank details that you submit as part of the claims process will be validated before an application can be accepted and the payment processed. You must provide both an IBAN and a BIC.

Do I have to provide bank details every time I apply?

You don't have to enter your bank details for every application you submit. Select that you want to save your bank details for future claims. For later applications, you will see the last four digits of the IBAN number that has been saved and equipped with the ability to edit your bank details and save them for future use.